PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/686.695 TRANSMITTAL Filing Date October 15, 2003 First Named Inventor **FORM** Rory S. Smith Art Unit 3652 **Examiner Name** (to be used for all correspondence after initial filing) Attorney Docket Number 0106162-0527292 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer below): **Extension of Time Request** Self-addressed return postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Frost Brown Todd LLC Signature Printed name Kevin S. Sprecher Date Reg. No. 42,165 5/24/05 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Peggy Spradlin

Typed or printed name

5/24/05



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:

Rory S. Smith, et al.

: Paper No:

Serial No.

10/686,695

Group Art Unit:

Filed:

October 15, 2003

: Examiner:

For:

ELEVATOR CAR ISOLATION SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicant herewith submits certain patent references, which the Patent and Trademark Office may wish to consider in examining the above-identified application. The references are listed below, and are also listed on the attached Form PTO-1449.

U.S. Publication References:

References

Publication Date

Inventor

None

Foreign Patent References:

References

Issue Date

Inventor

None

Other References/Publications:

Serial No. 10/621,280

INTERNATIONAL SEARCH REPORT, dated 03/08/2004for PCT Application No. PCT/US02/20740

OFFICE ACTION, dated 10/02/2002 for U.S. Application Serial No. 09/900,651, filed 07/06/2001

OFFICE ACTION, dated 03/14/2003 for U.S. Application Serial No. 09/900,651, filed 07/06/2001

Pursuant to the notice published in the Official Gazette, dated August 5, 2003, no copies are provided herewith of U.S. patents or patent applications cited. Copies of non-patent literature cited are provided herewith. No representation is made or intended that a prior art search has been made or that no better art than the listed is available. It is respectfully requested that the information be considered by the Examiner and made of record in the present application.

Respectfully submitted,

Rory S. Smith, et al.

Kevin S Sprecher

Registration No. 42,165

FROST BROWN TODD LLC

2200 PNC Center

201 East Fifth Street

Cincinnati, Ohio 45202-4182

Telephone (513) 651-6121

Facsimile (513) 651-6981

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Complete if Known					
Application Number	10/686,695				
Filing Date	10/15/2003				
First Inventor	Rory S. Smith				
Art Unit					
Examiner Name					
Attorney Docket No.	0106162-0527285				

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	<u>Document Number</u> Number - Kind Code ² (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant	Pages, columns, lines where relevant passages or relevant figures appear	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant	Pages, columns, lines where relevant passages or relevant figures appear	T ⁶	

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Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		INTERNATIONAL SEARCH REPORT, dated 03/08/2004for PCT Application No. PCT/US02/20740			
		OFFICE ACTION, dated 10/02/2002 for U.S. Application Serial No. 09/900,651, filed 07/06/2001			
		OFFICE ACTION, dated 03/14/2003 for U.S. Application Serial No. 09/900,651, filed 07/06/2001			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner	Date	
Signature	Considered	

¹ Applicant's unique citation designation number (optional).
² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.